

1
2 **PSYCHOMETRIC ANALYSIS OF AN ECOLOGICAL VOCAL EFFORT**
3 **SCALE IN INDIVIDUALS WITH AND WITHOUT VOCAL**
4 **HYPERFUNCTION DURING ACTIVITIES OF DAILY LIVING**
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11
12 **Objective:** The purpose of this study was to examine the psychometric properties of an ecological vocal
13 effort scale linked to a voicing task.

14 **Methods:** Thirty-eight patients with nodules, 18 patients with muscle tension dysphonia, and 45 vocally
15 healthy control individuals participated in a week of ambulatory voice monitoring. A global vocal status
16 question was asked hourly throughout the day. Participants produced a vowel-consonant-vowel-syllable
17 string and rated the vocal effort needed to produce the task on a visual analog scale. Test-retest reliability
18 was calculated for a subset using the Intraclass Correlation Coefficient (ICC(A,1)). Construct validity was
19 assessed by: 1) comparing the week-long vocal effort ratings between the patient and control groups and 2)
20 comparing week-long vocal effort ratings before and after voice rehabilitation in a subset of 25 patients.
21 Cohen's *d*, the standard error of measurement (SEM), and the minimal detectable change (MDC) assessed
22 sensitivity. The minimal clinically important difference (MCID) assessed responsiveness.

23 **Results:** Test-retest reliability was excellent (ICC(A,1): 0.96). Week-long mean effort was statistically
24 higher in the patients than in controls ($d = 1.62$) and lower after voice rehabilitation ($d = 1.75$), supporting
25 construct validity and sensitivity. The SEM was 4.14, MDC was 11.47, and MCID was 9.74. Since the
26 MCID was within the error of the measure, we must rely upon the MDC to detect real changes in ecological
27 vocal effort.

28 **Conclusion:** The ecological vocal effort scale offers a reliable, valid, and sensitive method of monitoring
29 vocal effort changes during the daily life of individuals with and without vocal hyperfunction.

30 **Conflict of interest statement:** Drs. Robert Hillman and Daryush Mehta have a financial interest in
31 InnoVoice LLC, a company focused on developing and commercializing technologies for the prevention,
32 diagnosis and treatment of voice-related disorders. Dr. Hillman's and Dr. Mehta's interests were reviewed
33 and are managed by Massachusetts General Hospital and Mass General Brigham in accordance with their
34 conflict-of-interest policies.

36

Introduction

37 In the United States, voice disorders affect approximately one out of every thirteen adults annually,
38 with far-reaching social, emotional, and economic consequences (Bhattacharyya, 2014). Vocal
39 hyperfunction (VH), defined as excessive perilaryngeal musculoskeletal activity during phonation (Oates
40 & Winkworth, 2008), is considered an etiological component in the most frequently occurring behavioral
41 voice disorders (Bhattacharyya, 2014; Hillman et al., 1989; Hillman et al., 2020). One of the most frequent
42 complaints of patients with VH is the requirement of increased vocal effort to speak (Colton et al., 2006;
43 Hanschmann et al., 2011; Jiang & Titze, 1994; van Mersbergen et al., 2020). Vocal effort is defined as the
44 perception of the work or exertion an individual feels during phonation (Hunter et al., 2020). This feeling
45 can be particularly problematic for individuals who rely heavily on their voices throughout the day, such as
46 teachers, singers, fitness instructors, lawyers, and clergy (Ramig & Verdolini, 1998; Roy et al., 2005; Roy
47 et al., 2004; Verdolini & Ramig, 2001). It is no surprise then that reducing vocal effort is a frequent target
48 in voice therapy (Hunter et al., 2020; van Mersbergen et al., 2020; Van Stan, Roy, et al., 2015). However,
49 tracking and documenting degree of vocal effort in both clinical and research settings is challenging, as
50 there is no standardized measure of vocal effort that is widely used (van Mersbergen et al., 2020).

51 Van Mersbergen et al. (2020) found that 78% of surveyed speech-language pathologists (SLPs)
52 reported quantifying vocal effort using the Voice Handicap Index (VHI; Jacobson et al., 1989) or the
53 shorter, 10-item VHI-10 (Rosen et al., 2004). This finding may be problematic because, although the VHI
54 includes two items related to vocal effort, the VHI-10 does not; furthermore, these instruments were
55 designed to measure the construct of voice disability, not vocal effort specifically. Other rating scales
56 employed to quantify vocal effort include direct magnitude estimation scales (Banister, 1979; Tenenbaum
57 et al., 2012; Verdolini et al., 1994), visual analog scales (VAS) (Borg, 1982; Borg, 1990; Gilman & Johns,
58 2017; McKenna & Stepp, 2018; Paes & Behlau, 2017; Shewmaker et al., 2010; Tanner et al., 2010), or
59 Borg-derived scales, such as the OMNI vocal effort scale (Shoffel-Havakuk et al., 2019), the Borg CR-10
60 (Baldner et al., 2015; Borg, 1982; van Leer & van Mersbergen, 2017), and the Borg CR-100 (Berardi, 2020;
61 Borg & Kaijser, 2006). These scales were intended to reflect patients' feeling of vocal effort at one point

62 in time or their judged cumulative vocal effort. However, one-time ratings do not necessarily reflect patient
63 reports of the ongoing changes in vocal effort they experience throughout the day—outside of the therapy
64 session—that depend on their daily vocal demand, including environmental factors, number of
65 communication partners, and type of voicing activity (Hunter et al., 2020; Van Stan, Maffei, et al., 2017).
66 Moreover, the in-clinic ratings do not provide insight to the physiological underpinnings that may
67 accompany changes in vocal effort throughout daily activities.

68 Vocal effort measured in daily life should better reflect the changes that occur throughout the day,
69 depending on vocal demands. This information can inform the treating speech-language pathologist’s
70 therapy strategies and help facilitate the carryover of such strategies from the clinic to voice use during
71 activities of daily living. Further, pairing real-world effort judgments with objective measures from
72 ambulatory voice monitoring (Mehta et al., 2015) should provide better insights into the physiology
73 underlying daily variation in vocal effort, which could then be implemented as an early-warning system to
74 alert individuals when they begin to exhibit vocal behaviors that could influence their vocal effort. The
75 measures could also be employed for biofeedback to aid in behavioral self-regulation for patients with voice
76 disorders (Llico et al., 2015; Van Stan et al., 2014; Van Stan, Mehta, et al., 2015; Van Stan, Mehta, Petit,
77 et al., 2017; Van Stan, Mehta, Sternad, et al., 2017). These objective measures could also be used to
78 document changes throughout daily life induced by voice therapy. Identifying objective measures
79 underlying changes in vocal effort throughout an individual’s day has the potential to change the way SLPs
80 assess, treat, and ultimately prevent behavioral voice disorders.

81 **Ecological Momentary Assessment of Vocal Status**

82 Ecological momentary assessment (EMA) involves assessing individuals’ current experiences
83 and/or behaviors as they occur in real time and in their real-world setting (Burke et al., 2017). Advantages
84 of EMA include prompting individuals to rate or answer questions “in the moment” to minimize recall bias,
85 obtain self-ratings in their natural environment as opposed to controlled laboratory conditions or clinical
86 situations, and correlate these ratings to underlying physiological processes (Burke et al., 2017; Shiffman
87 et al., 2008). Because a person’s vocal effort may be affected by vocal demands that vary throughout their

88 day, it is likely that there will be large variability in self-ratings throughout a day or week. Ecological
89 measurement of voice is not a new concept in the voice literature; self-reports of vocal status (e.g., vocal
90 fatigue, discomfort, difficulty to produce soft phonation, and vocal effort) have been collected multiple
91 times throughout a day or before and after heavy voice use in vocally healthy individuals and occupational
92 voice users with presumably healthy voices (Gotaas & Starr, 1993; Kitch et al., 1996; Lehto et al., 2008;
93 Vintturi et al., 2003; Welham & Maclagan, 2004). The development of ambulatory voice monitoring
94 (Bottalico et al., 2018; Cheyne et al., 2003; Mehta et al., 2015; Mehta et al., 2012; Popolo et al., 2005; Van
95 Stan et al., 2014) has made possible the ability to capture changes in voicing and elicit self-ratings of vocal
96 status during activities of daily life (Carroll et al., 2006; Dallaston & Rumbach, 2016; Halpern et al., 2009;
97 Hunter & Titze, 2009; Hunter & Titze, 2010; Laukkanen et al., 2008; Laukkanen & Kankare, 2006; Popolo
98 et al., 2011; Van Stan, Maffei, et al., 2017).

99 Several studies have examined ecological voice ratings using ambulatory voice monitoring
100 systems, but only in individuals with healthy voices (Carroll et al., 2006; Halpern et al., 2009; Hunter &
101 Titze, 2008; Van Stan, Maffei, et al., 2017; Verdyuckt et al., 2011). Carroll and colleagues (2006) first used
102 ambulatory voice monitoring to capture ratings of the inability to produce soft voice (IPSV) during low
103 intensity tasks and ratings of vocal effort during loud phonation tasks in seven vocally healthy male singers,
104 using a personal digital assistant. Since then, other work has been done to investigate ecological momentary
105 assessment of vocal status in relation to vocal dose measures (Halpern et al., 2009; Hunter & Titze, 2008;
106 Verdyuckt et al., 2011). However, these studies have yielded limited success using traditional ambulatory
107 measures related to pitch, loudness, and vocal doses, to quantify changes in self-reported vocal status.

108 More recently, Lei et al. (2020) used a vocal dose-based vocal loading task to investigate the
109 relationship between voice use and vocal fatigue in ten vocally healthy participants, who participated in
110 six consecutive 30-minute vocal loading tasks. They found that vocal effort and discomfort scores
111 increased rapidly between the first and second loading tasks, whereas the IPSV score increased to a lesser
112 degree. This finding suggests that participants may perceive effort and discomfort even when their vocal
113 demand response (i.e., IPSV task) is less affected. The acoustic features related to distance dose (i.e.,

114 fundamental frequency [f_0], sound pressure level, percent phonation) followed the same trend of vocal
115 effort and discomfort scores, with a sharp increase in the early vocal loading tasks that remained steady
116 through the rest of the vocal loading tasks. The authors did not, however, look specifically at the
117 relationship between the acoustic measures and the self-ratings.

118 Van Stan et al. (2017) was the first study, to our knowledge, to measure patient-perceived vocal
119 status throughout daily life. They validated self-ratings of vocal status in individuals with and without
120 vocal hyperfunction using a smartphone-based ambulatory voice monitoring system to prompt
121 participants to rate difficulty to produce soft high-pitched phonation (similar to IPSV), vocal discomfort,
122 and vocal fatigue using a VAS periodically every 5 hours throughout the day. The study provided
123 evidence of reliability and validity for tracking vocal status in daily life. The authors found internal
124 consistency among the three questions, reflecting the construct of vocal status. They found a minimally
125 detectable change (MDC) using a 95% confidence interval (MDC_{95}) of approximately 20 points for each
126 vocal status dimension, indicating a true change is detectable when participants change their vocal status
127 ratings by 20 points or more. The study demonstrated known-groups validity by determining statistically
128 significant differences in mean self-ratings between individuals with and without vocal hyperfunction, as
129 well as statistically significant differences in mean vocal status self-ratings for individuals with VH before
130 and after successful voice treatment (i.e., therapy and/or surgery) (Van Stan, Maffei, et al., 2017).

131 Although accelerometer-based ambulatory voice measures were not specifically investigated in that study,
132 the dataset lends itself to the study of ambulatory voice measures associated with changes in vocal status
133 in patients with vocal hyperfunction.

134 **Ecological Momentary Assessment of Vocal Effort in Patients with VH**

135 Although Van Stan and colleagues (2017) provided an empirically validated set of vocal status
136 questions used in ambulatory voice monitoring, the authors did not specifically investigate ratings of vocal
137 effort. Other than anecdotal patient reports, little is known about how patients' perception of vocal effort
138 changes throughout a week, depending on their specific vocal demands. The overarching aim of the current
139 study was to examine the ecological momentary assessment of vocal effort, defined as the amount of

140 perceived work or exertion to produce voice measured in an individual's real-world speaking environment.
141 Specifically, we examined the psychometric properties (reliability, validity, sensitivity, and responsiveness)
142 of an ecological vocal effort scale that was temporally linked to a voicing task and used to capture vocal
143 effort ratings throughout a week of ambulatory voice monitoring in individuals with and without VH, with
144 the ultimate the goal of providing a generalizable method to measure vocal effort throughout daily life.

145

146

Method

147 Participants

148 Hillman et al. (2020) differentiated two types of vocal hyperfunction: 1) phonotraumatic vocal
149 hyperfunction (PVH) which includes benign vocal fold lesions (e.g., nodules) and 2) nonphonotraumatic
150 vocal hyperfunction (NPVH), defined as dysphonia that occurs in the absence of concurrent known
151 pathology (i.e., primary muscle tension dysphonia). Patients with either PVH or NPVH were recruited to
152 the study via convenience sampling from the Center for Laryngeal Surgery and Voice Rehabilitation at the
153 Massachusetts General Hospital (MGH Voice Center). Diagnosis was based on a comprehensive team
154 evaluation by a laryngologist and SLP, including a complete case history, videostroboscopic evaluation,
155 acoustic and aerodynamic assessment, patient-reported voice-related quality of life (V-RQOL)
156 questionnaire (Hogikyan & Sethuraman, 1999), and SLP-rated consensus auditory-perceptual evaluation
157 of voice (CAPE-V; Kempster et al., 2009). During this team evaluation, there is a patient-centered
158 discussion between the patient and the clinicians regarding the history, voice use, diagnosis, and treatment
159 options (e.g., therapy, surgery, or a combination of both). Generally, but not always, voice therapy is
160 suggested as the first treatment approach at the MGH Voice Center (Van Stan, Mehta, Ortiz, Burns, Marks,
161 et al., 2020). Ultimately, it is the patient who decides the course of treatment after discussing options and
162 recommendations from the team.

163 Control participants without VH were recruited via snowball sampling: enrolled patients were
164 asked to identify colleagues who matched their age (± 5 years), sex, and occupation, as well as singing
165 genre (if a professional singer) as part of a larger ongoing study (Mehta et al., 2015). At the time of this

166 group-based project, not all participants had been matched. Control participants were screened by a voice-
 167 specialized SLP to ensure 1) typical hearing in both ears through pure-tone air conduction at 25 dB HL at
 168 0.5, 1, 2, and 4 kHz, 2) typical sounding voice, and 3) straight vocal fold edges with typical vibration
 169 patterns as observed via videostroboscopic examination.

170 Thirty-eight patients with phonotraumatic vocal hyperfunction (PVH), 17 patients with non-
 171 phonotraumatic vocal hyperfunction (NPVH), and 45 control individuals without vocal hyperfunction (VH)
 172 were enrolled as part of a larger ongoing study (Mehta et al., 2015). Table 1 lists descriptive data for all
 173 participants with respect to age, sex, overall severity (OS) from the CAPE-V, V-RQOL, and Singing Voice
 174 Handicap Index-10 (SVHI-10) for singers. A majority of participants were students studying voice at the
 175 collegiate level; these student singers made up 61% of the PVH group, 39% of the NPVH group, and 64%
 176 of the control group. Singers were only included in the NPVH group if speaking voice use was negatively
 177 impacted by the disorder. For those who were not student singers, the occupations varied across
 178 participants. (Cohen et al., 2009). Figure 1 illustrates a flowchart of participants through the different
 179 phases and analyses of the study.

180

181 **Table 1.** Characteristics of participants by group. The phonotraumatic vocal hyperfunction (PVH) group
 182 consisted of 38 females, the non-phonotraumatic vocal hyperfunction (NPVH) group consisted of 15
 183 females and two males, and the control group consisted of 44 females and 1 male. Gender information
 184 was not collected. Mean (standard deviation) age, overall severity from the Consensus Auditory
 185 Perceptual Evaluation of Voice (CAPE-V), Voice-Related Quality of Life (V-RQOL) scores, number of
 186 singers in each group, and Singing Voice Handicap Index-10 (SVHI-10) for those singers are described.
 187 NR = not rated.

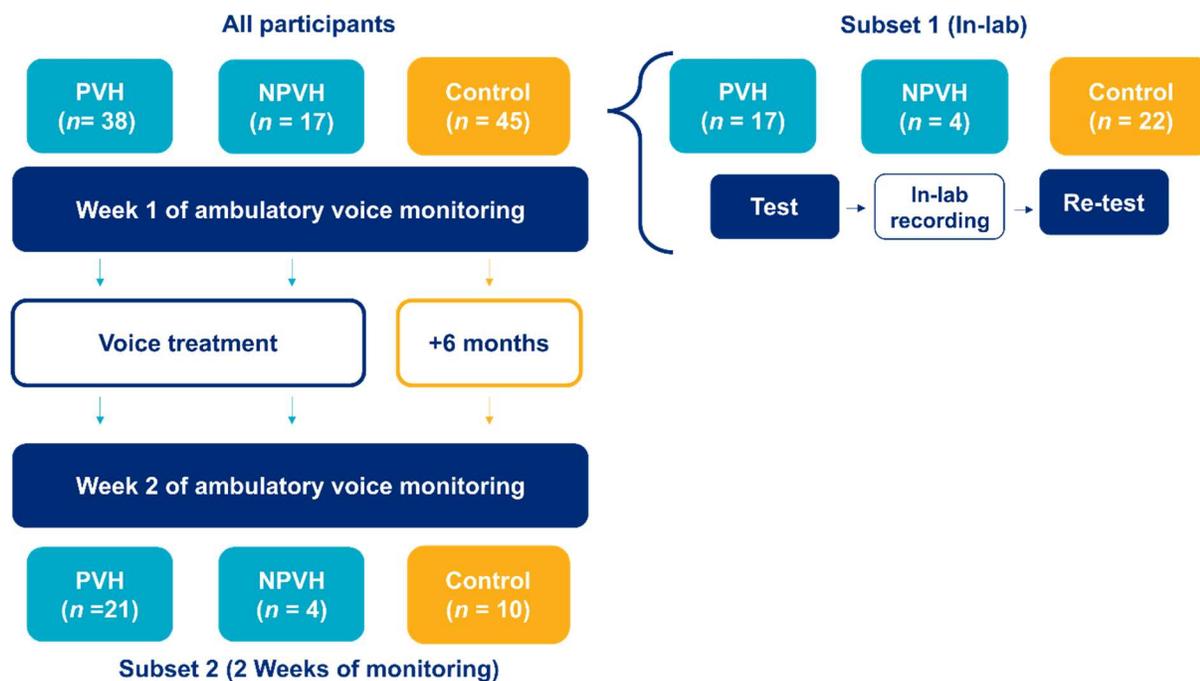
Group	<i>n</i>	Sex	Age	CAPE-V OS	V-RQOL	Singers (<i>n</i>)	SVHI-10
PVH	38	38 F, 0 M	23.4 (6.8)	27.4 (15.4)	67.8 (21.8)	32	18.4 (8.8)
NPVH	17	15 F, 2 M	33.4 (13.9)	34.9 (31.5)	54.4 (23.5)	9	25.9 (9.9)
Control	45	44 F, 1 M	26.2 (10.5)	NR	95.7 (5.6)	35	6.6 (5.4)

188

189

190 **Figure 1.** Flowchart illustrating methods and breakdown of each subset: All participants completed one
 191 week of ambulatory voice monitoring prior to any voice treatment. Participants in Subset 1 rated vocal
 192 effort before and after a voice recording that took place in a laboratory environment. These ratings were
 193 used for the test-retest analysis. Subset 2 included participants who completed a second week of
 194 monitoring. The patient participants completed their second week of ambulatory voice monitoring

195 following discharge from voice therapy, and the control participants completed a second week of
196 monitoring at least six months after their initial week of monitoring.



197

198

199 *Subset 1*

200 Two subsets of participants were used in the study, as outlined in Figure 1. The first subset,
201 displayed in Figure 1 (Subset 1) was used in a test-retest reliability analysis and included 14 female
202 participants with PVH, 5 female and 2 male participants with NPVH, and 22 female control participants
203 who were enrolled in the last year of the study. A test-retest protocol was only in place the final year of the
204 project, which limited the number of participants included in the test-retest analysis. Table 2 describes
205 Subset 1, listing the phases of the study each participant was in during the test-retest protocol. The average
206 CAPE-V overall severity (OS) score was in the mild range for both patient groups. Specifically, the OS
207 score was 17.0 (SD = 8.8) for patients with PVH and 28.7 (SD = 28.3) for patients with NPVH.

208

209 **Table 2.** Number of participants by group (phonotraumatic vocal hyperfunction [PVH], non-
210 phonotraumatic vocal hyperfunction [NPVH], and controls) in Subset 1 whose scores were used in the
211 test-retest analysis, including which phase of the study the test-retest protocol took place. Participants in
212 the PVH and NPVH group participated either before treatment (Pre-Tx), after successful treatment (Post-

213 Tx), or follow-up at least six months later. Participants in the control group participated in either a
214 baseline session or follow-up at least six months later. Em dash (—) indicates not applicable.

215

Subset 1 Group	Pre-Tx/Baseline	Post-Tx	6-mo. Follow-up
PVH (n = 14)	1	4	9
NPVH (n = 7)	4	1	2
Controls	15	—	7

216

217 ***Subset 2***

218 Subset 2, displayed in Figure 1, included 25 patients with VH (21 females with PVH; 2 females, 2
219 males with NPVH) who participated in multiple weeks of voice monitoring. The 19 patients who received
220 voice therapy participated in a second week of monitoring after they completed a full course of voice
221 therapy and were officially discharged from therapy following a comprehensive voice evaluation with
222 subjective judgments of improvement from both the patient and the treating SLP. Four patients with PVH
223 had surgery to remove phonotraumatic lesions and participated after they were discharged from post-
224 operative voice therapy. Ten participants in the control group participated in a second week of voice
225 monitoring at least six months after their initial baseline week of monitoring. Though not used in any of the
226 analyses other than the test-retest protocol, patients also participated in a follow-up week of monitoring six
227 months after voice rehabilitation. Table 3 describes participant characteristics for Subset 2, including age,
228 sex, overall severity (OS) from the CAPE-V, V-RQOL, and Singing Voice Handicap Index-10 (SVHI-10)
229 for singers.

230

231 **Procedures**

232 When participants were enrolled in the study, they participated in a one-hour lab visit, during which
233 they were taught how to use the ambulatory monitoring equipment (smartphone and neck surface
234 accelerometer sensor; Mehta et al., 2015) and how to respond to the vocal effort and global vocal status
235 prompts, as described in the following paragraph. As part of a larger study, participants engaged in an in-

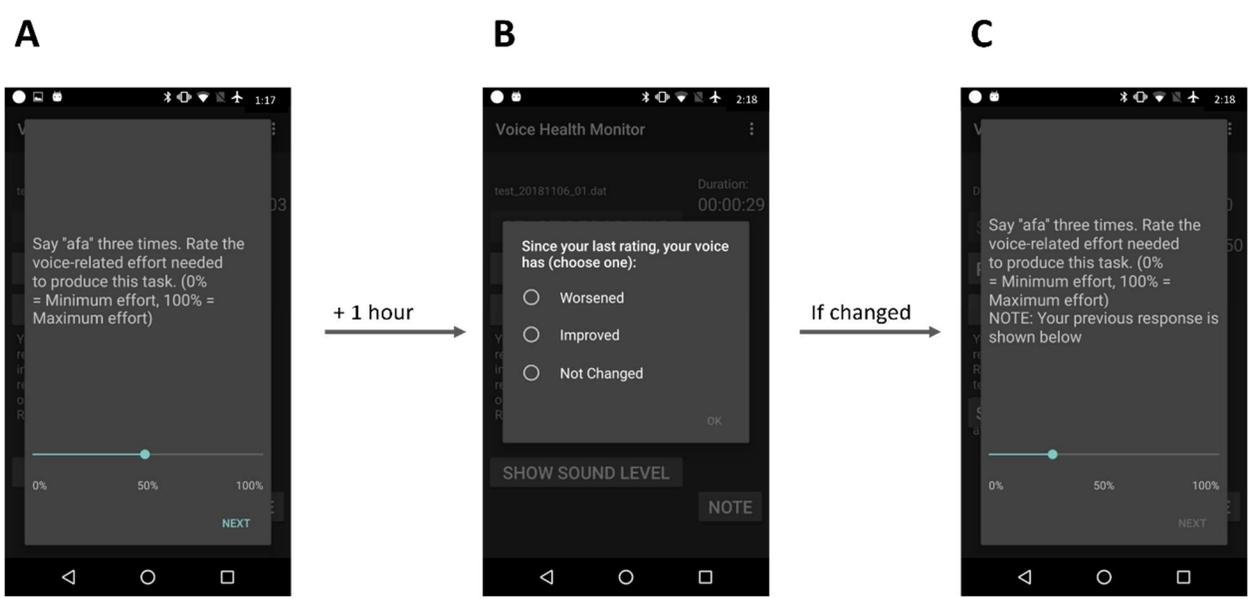
236 lab recording session, which took approximately 20 minutes of the one-hour visit. Participants then took
237 the equipment home with them to wear during their waking hours for approximately seven days. During
238 the final year of data collection, the researchers implemented a test-retest protocol, in which they asked a
239 subset (Subset 1) of participants to rate their vocal effort before and after the ~20-minute recording session.
240 Although the session took approximately 20 minutes, the recording itself was between 6 to 8 minutes and
241 the participants were asked to repeat vowel sounds, give a 30-second spontaneous speech sample, and read
242 a passage and a list of sentences out loud. The recording was not expected to impact the ratings of
243 participants. Ratings before and after the recording session were used for a test-retest analysis, further
244 described in the “Psychometric Analyses” section. During the COVID-19 pandemic, the “in lab” visit was
245 converted to a virtual visit via a HIPAA-compliant videoconferencing portal. The test-retest protocol
246 remained the same, as researchers asked the participants to rate vocal effort before and after the ~20-minute
247 recording session.

248 During their week of ambulatory voice monitoring, each morning when participants pressed the
249 “Start recording,” button on the smartphone platform, they were asked to rate their perceived vocal effort.
250 Vocal effort was described using its definition (Hunter et al., 2020); specifically, the clinician investigators
251 explained to participants that vocal effort refers to how much work it takes to speak or sing in the moment.
252 Figure 2A displays a screenshot of the vocal effort prompt: “Say afa three times. Rate the voice-related
253 vocal effort needed to produce this task.” The syllable string “afa” was selected to allow for future analysis
254 of relative fundamental frequency, since relative fundamental frequency has been theoretically and
255 empirically associated with vocal effort (Lien et al., 2015; McKenna et al., 2016; Stepp et al., 2010; Stepp
256 et al., 2011). Vocal effort ratings were made using a VAS on the smartphone in portrait mode, consistent
257 with prior work by Van Stan, Maffei, et al. (2017), with labels of 0% at the left end of the scale for minimum
258 effort, 50% in the center of the scale, and 100% on the right end of the scale for maximum effort. The cursor
259 on the VAS was defaulted to the center of the scale each morning, and participants were required to move
260 the cursor to indicate their current level of voice-related effort. After the initial prompting each morning,
261 participants were alerted at hourly intervals to indicate whether their overall (i.e., global) vocal status had

262 changed since their last rating, as shown in Figure 2B. If participants indicated that their vocal status had
263 worsened or improved, they were prompted to re-rate their vocal effort, and their most recent ratings were
264 displayed so that their responses were anchored to their previous rating, as displayed in in Figure 2C. If
265 participants reported that their vocal status had not changed, they were not asked to re-rate. Participants
266 were always required to answer the vocal effort prompt at the beginning of the recording each day and the
267 end of the recording each day. Note that ratings for voice-related discomfort and fatigue were similarly
268 collected on a VAS just prior to the vocal effort rating. This study focused solely on the third prompt of
269 vocal effort, with the global vocal status used as an indicator of overall change.

270

271 **Figure 2.** Flowchart of smartphone screenshots of vocal status prompts. Each morning, when participants
272 started recording, they were shown the **vocal effort prompt** and asked to say “afa” three times and rate the
273 voice-related effort needed to produce the task (A). The cursor started at 50% and participants moved the
274 cursor on the visual analog scale. Each hour, the phone vibrated to alert participants that it was time to
275 answer a global vocal status question (B), where they are prompted to answer whether their voice had
276 worsened, improved, or not changed since their last rating. If they selected “No Changed” on this global
277 status prompt, no follow-up prompts were displayed until the next hour. If they selected “Worsened” or
278 “Improved,” they were asked to re-rate their voice-related effort (C). The cursor was displayed on the
279 scale where they last provided an effort rating, so that their current rating was anchored to this previous
280 rating. Once they produced the “afa” task and re-rated their vocal effort to produce that task, they were
281 done until the next hour, when the global status prompt reoccurred.



282

283

284 Participants in Subset 2 (Table 3; Figure 1) included those patients who completed follow-up weeks
 285 of ambulatory monitoring after successful voice rehabilitation (i.e., voice therapy and/or surgery or voice
 286 therapy only) or, for the control group, a follow-up week at least six months after the initial week of
 287 monitoring. The same procedures described were implemented for follow-up weeks of ambulatory voice
 288 monitoring. Although not used in the analyses other than test-retest reliability, patients also participated in
 289 a follow-up at least six months after successful treatment.

290

291 **Table 3.** Subset 2 includes 25 patients with vocal hyperfunction (VH) who participated before and after
 292 successful treatment and 10 individuals without VH in the control group who participated for a baseline
 293 week of monitoring and a follow-up week of monitoring at least six months later. Mean and standard
 294 deviation (SD) displayed for Age, Overall Severity (OS) from the Consensus Auditory-Perceptual
 295 Evaluation of Voice (CAPE-V), Voice-Related Quality of Life scores (V-RQOL), number of singers in
 296 each group, and Singing Voice Handicap Index-10 (SVHI-10) for those singers.

Subset 2 Group	Phase	<i>n</i>	Sex	Age	CAPE-V OS	V-RQOL	Singers (<i>n</i>)	SVHI-10
Patients with VH	Pre-treatment	25	23 F, 2 M	23.0 (7.4)	25 (14.1)	67.0 (20.5)	22	22.0 (8.2)
	Post-treatment				14 (10.9)	86.0 (14.2)		11.0 (7.6)
Controls	Baseline	10	10 F, 0 M	22.0 (3.0)	NR	94.3 (6.0)	8	10.6 (4.4)
	6 mo. Follow-up					95.5 (7.4)		4.9 (3.9)

297

298

299 Psychometric Analysis

300 The ratings of vocal effort and ratings of global vocal status for each time point were extracted
 301 from a smartphone file that maintained a timestamped log of user interactions and input for each question.
 302 Data were cleaned to remove irrelevant or repeated ratings that occurred during the in-lab visit, except for
 303 those used for test-retest reliability. Psychometric analyses were performed to assess test-retest reliability,
 304 construct validity, sensitivity to change, and responsiveness, for the ecological vocal effort scale.

305 *Test-Retest Reliability*

306 Reliability reflects the amount of both random and systematic error inherent in any measurement
 307 (Streiner et al., 2015). The intra-class correlation (ICC) is a measure of reliability that is defined as a ratio
 308 of participant variability over the product of participant variability and measurement error. The reliability

309 coefficient expresses the proportion of the total variance in the measurements that is due to “true”
310 differences between participants (Streiner et al., 2015). Historically, there has been a lack of consistent
311 approaches regarding which ICC formula is appropriate for test-retest reliability in patient-reported
312 outcomes and a lack of a uniform naming convention for the ICC formulas. Specifically, a key limitation
313 in the general ICC literature is the use of the term “raters,” which does not easily translate to patient-reported
314 outcomes, which typically involve the same raters evaluated at two different time points (Qin et al., 2019).
315 Thus, the Critical Path Institute’s Patient-Reported Outcome (PRO) Consortium performed an extensive
316 review of the literature on ICCs and presented their recommendations to be vetted by a group of 12 experts,
317 including psychometricians, biostatisticians, regulators, and other scientists representing the PRO
318 Consortium, the pharmaceutical industry, clinical research organizations, and consulting firms (Coons et
319 al., 2011). To assess test-retest reliability for PRO measures, Coons and colleagues (2011) recommend
320 using a two-way mixed-effect analysis of variance (ANOVA) model (fixed effect of two test periods and
321 random effect of rater), with interaction for the absolute agreement between single scores, which is
322 ICC(A,1) (Qin et al., 2019) .

323 Test-retest reliability was performed on the data from Subset 1 (Table 2; Figure 1), which included
324 participants who rated vocal effort before and after a recording session. ICC(A,1) was used to obtain a
325 correlation between ratings before and after the session. Because reliability metrics are not in the same units
326 as the measure of interest, reliability estimates should be accompanied by the standard error of the
327 measurement (SEM), which is expressed in the same unit of measurement as the original scores (Streiner
328 et al., 2015). In the present study, the SEM was calculated using the equation $SEM = \sigma\sqrt{1 - R}$, where σ
329 is the standard deviation of the observed scores from the entire dataset of patients and controls during the
330 initial week of monitoring, and R is the reliability coefficient ICC(A,1). We also calculated the test-retest
331 reliability for two groups within Subset 1, employing a data-driven approach that uses overall severity (OS)
332 of dysphonia, as rated by a voice-specialized SLP, for two groups: those judged by an SLP as within
333 functional limits (WFL), defined as ≤ 10 on the CAPE-V, and those with mild overall severity, defined as

334 > 10 and ≤ 35 on the CAPE-V (Solomon et al., 2011). There were not enough participants with moderate
335 or severe scores on the CAPE-V in Subset 1.

336 ***Validity***

337 In general terms, validation of a scale involves determining a degree of confidence that can be
338 placed on the inferences made about people based on their scores from the scale (Landy, 1986). Historically,
339 validity has been divided into content, criterion, and construct validity; in recent years, the focus has shifted
340 more to the logic and methodology of hypothesis testing (Streiner et al., 2015). With respect to both
341 approaches, in the current study, we evaluated construct validity based on two hypotheses: 1) Individuals
342 with VH will report higher week-long mean ecological vocal effort than individuals without VH, and 2)
343 Individuals with VH will have lower week-long mean ecological vocal effort after successful treatment,
344 compared to their pre-treatment week-long ecological vocal effort. To test the first hypothesis, a one-way
345 ANOVA was used to test the difference in week-long mean vocal effort among the three groups (PVH,
346 NPVH, and controls). Welch's F test was used, as the groups had unequal variances. To test the second
347 hypothesis, a paired-samples t -test was used to assess differences in week-long mean vocal effort before
348 and after successful voice treatment using data from Subset 2.

349 ***Sensitivity to Change***

350 Sensitivity to change reflects an instrument's ability to measure any degree of change, regardless
351 of whether it is relevant or meaningful to the decision maker (Liang, 2000; Streiner et al., 2015). The most
352 well-known of sensitivity measures is Cohen's d , (Cohen, 1988), which is the mean ratio of the mean
353 difference to the standard deviation (SD) of baseline scores (Streiner et al., 2015). Two analyses were
354 performed, first comparing patients with VH and controls and second comparing patients before and after
355 voice rehabilitation.

356 The minimal detectable change (MDC) is a commonly reported reference for interpretation of
357 clinical outcome measures (Stipancic et al., 2018; Tilson et al., 2010; Van Stan, Maffei, et al., 2017). The
358 MDC is defined as the smallest amount of change that is greater than measurement error (Beckerman et al.,
359 2001; Haley & Fragala-Pinkham, 2006). The MDC with 95% confidence intervals (MDC₉₅) was used in

360 this study as one index of responsiveness, and was calculated using the formula $MDC_{95} = SEM(1.96)\sqrt{2}$,
361 with 1.96 representing the z-score for a 95% confidence interval and the $\sqrt{2}$ accounting for the difference
362 of the two variances used to derive the SEM (Tilson et al., 2010). Although the MDC indicates that a change
363 detected is unlikely due to chance variability, the MDC does not indicate whether or not the degree of
364 change is clinically meaningful (Beninato & Portney, 2011). Thus, the minimal clinically important
365 difference (MCID) was also used in the current study as an index of responsiveness.

366 ***Responsiveness***

367 Responsiveness is the ability of an instrument to measure a meaningful or clinically important
368 change in a clinical state (Liang, 2000). This change can be from the perspective of a patient, a caregiver,
369 or a health professional. Although commonly studied in the physical and occupational therapy literature,
370 very few studies have investigated responsiveness of voice and speech outcomes (Stipancic et al., 2018;
371 Van Stan, Maffei, et al., 2017). Application of responsiveness indices are critically important for learning
372 about how vocal effort changes throughout the day and assessing when treatments are making real and
373 clinically important changes for patients with VH. To calculate the MCID, an anchor-based approach was
374 employed using the vocal status ratings of “worsened,” “improved,” or “not changed,” to evaluate
375 participants’ perception of overall change (Jaeschke et al., 1989). The change in (delta) effort score for each
376 repeated rating was made, subtracting rating 2 from rating 1 and so on, for all participants during their first
377 week of ambulatory voice monitoring. When participants reported that their vocal status had “Not
378 Changed,” the delta effort score was assumed to be 0 (as participants were not asked to rate their vocal
379 effort at that time). This assumption introduces an intentional bias implemented to be less bothersome for
380 participants probed throughout the day. Moreover, we contend that only participants can offer the “ground
381 truth” for themselves; so, when they say no change has occurred, we must assume that no change occurred.
382 The MCID for changes in vocal effort was calculated as the average of the absolute delta effort scores for
383 ratings following “worsened” or “improved” vocal status.

384 To further explore the data, we also stratified participants by OS and calculated MDC_{95} and MCID
385 for each overall severity level, consistent with our ICC methods. It should be noted that because there were
386 few participants in moderate and severe groups, we were unable to calculate specific ICCs for those groups,
387 so we used the overall ICC to calculate the SEM for the moderate and severe groups. Thus, the MDC and
388 MCID results for the moderate and severe groups were “best-case scenario” results for a small number of
389 participants.

390

391 **Results**

392 **Test-Retest Reliability**

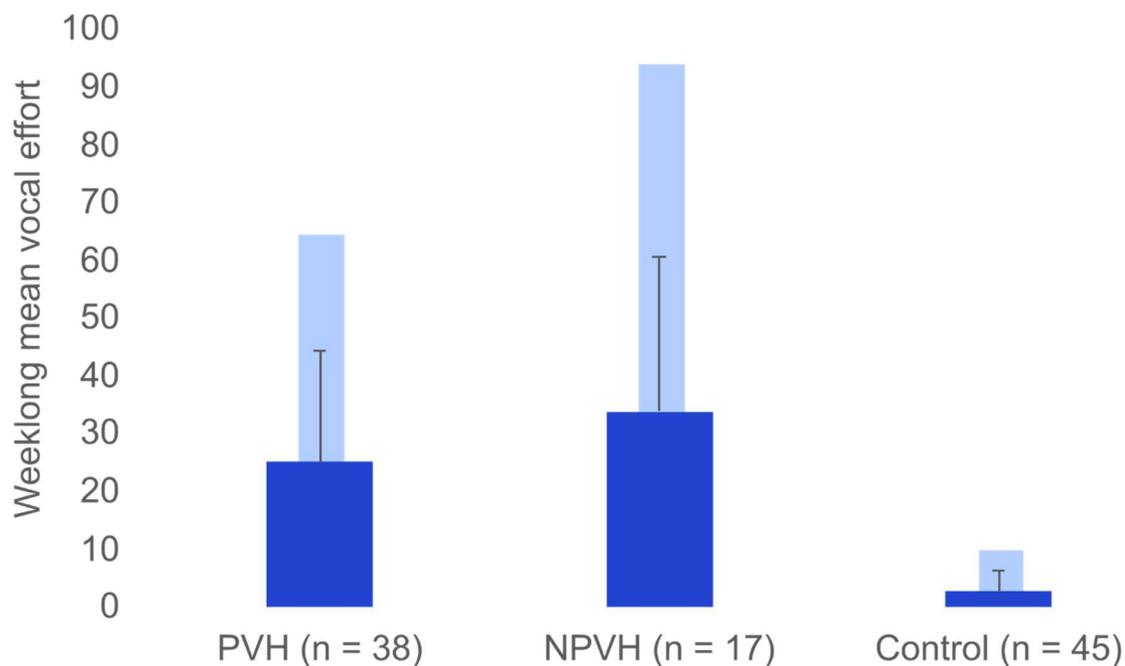
393 The overall ICC(A,1) was 0.96, indicating excellent test-retest reliability. The SEM, in the same
394 units as ecological vocal effort, was found to be 4.14. The SEM, an absolute measure, quantifies the
395 precision of scores within the participants. When stratified by overall severity of dysphonia, the ICC(A,1)
396 for the WFL OS group was 0.87, indicating good test-retest reliability. The SEM for the WFL OS group
397 was 1.95. For the Mild OS group, the ICC(A,1) was 0.91, indicating excellent reliability. The SEM for the
398 mild OS group was 5.35. There were not enough participants in the moderate or severe groups to calculate
399 specific ICCs, so the overall ICC was used to calculate specific SEMs: 5.45 for the moderate OS group and
400 5.27 for the severe OS group.

401 **Validity**

402 Two hypotheses were tested to establish construct validity. Levene’s test indicated that the groups
403 (PVH, NPVH, and Controls) had unequal variances, violating the assumption of homogeneity ($F(2,62) =$
404 $17.39, p < .001$). Therefore, Welch’s F was used to test the differences in week-long mean vocal effort
405 among groups, revealing a statistically significant main effect of diagnosis on week-long mean vocal effort
406 scores ($F(2,19) = 13.44, p < .001$), with a medium effect size ($\eta^2 = .59$). Bonferroni-corrected pairwise
407 comparisons, which divided the α level of significance of .05 by the number of tests performed (3), revealed
408 statistically significant differences, with large effect sizes, between the PVH group and the controls ($p <$
409 $.01, d = 1.62$) and between the NPVH group and the controls ($p < .01, d = 1.61$). Figure 3 illustrates week-

410 long mean vocal effort for each group, with error bars indicating group-wide standard deviations (SD), and
 411 shading illustrating the range of mean vocal effort. Table 4 reports the week-long mean vocal effort statistics
 412 for each participant group.

413 **Figure 3.** Group-wide statistics (mean, standard deviation, range) for week-long mean vocal effort,
 414 demonstrating construct validity between individuals with vocal hyperfunction and vocally healthy
 415 controls. Dark blue bars display week-long mean vocal effort across individuals in each group. Error bars
 416 indicate standard deviation. Light blue shading indicates the range of week-long mean vocal effort scores.



417
 418
 419 **Table 4.** Group mean, standard deviation (SD), minimum (Min), and maximum (Max) of the week-long
 420 average of vocal effort scores for participants during their first week of voice monitoring (pre-treatment
 421 for patients and baseline for controls).

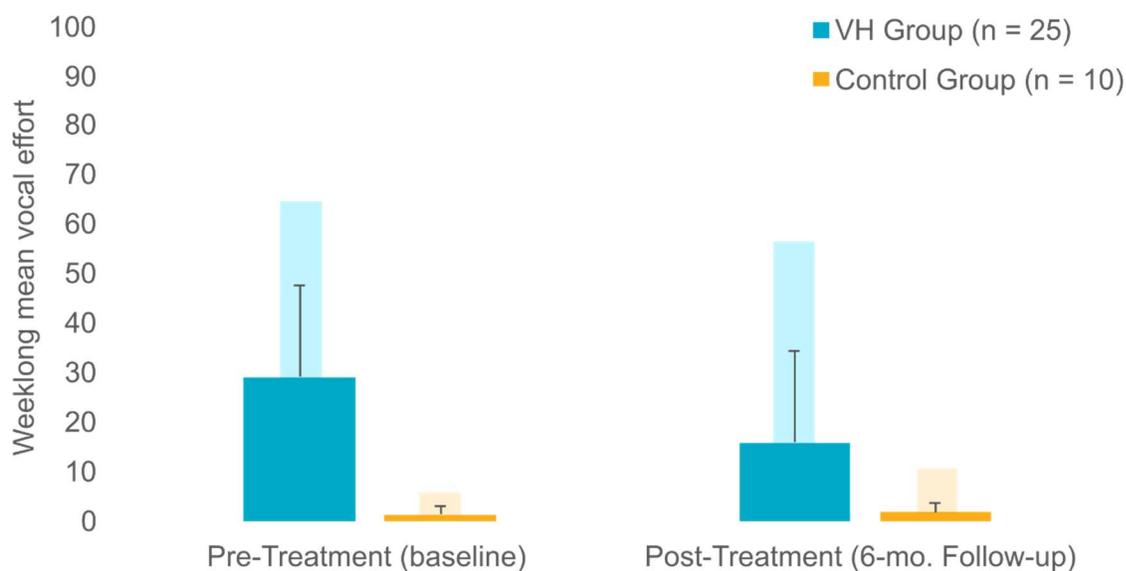
Group	n	Group mean (SD) of mean week-long vocal effort	Min–Max
PVH	38	25.0 (19.4)	0.0–65.7
NPVH	17	33.8 (26.9)	1.3–94.2
Controls	45	2.6 (3.6)	0.0–12.2

422
 423 Because week-long vocal effort was not different between the two patient groups (PVH and
 424 NPVH), the patient groups were collapsed into a single vocal hyperfunction group for the second validity
 425 analysis, which compared week-long mean vocal effort before and after successful treatment. To address
 426 the second hypothesis, the paired-samples *t*-test revealed that week-long mean vocal effort was statistically

427 lower after successful voice rehabilitation (i.e., voice therapy and/or surgery), with a very large effect size
 428 ($t(24) = 4.33, p < .001, d = 1.77$). The mean of the differences in vocal effort was 14 points. This finding
 429 provides secondary evidence of construct validity for the ecological vocal effort scale. Complementary to
 430 the second hypothesis, a subset of controls was monitored for a second week at least six months after their
 431 initial baseline week. As expected, week-long vocal effort was relatively stable from baseline to six-month
 432 follow-up in this control group ($p = .22$). Figure 4 compares the week-long mean vocal effort statistics
 433 pooling all patients with VH before and after successful treatment and for controls at baseline and follow-
 434 up time points. Table 5 reports the week-long mean vocal effort statistics displayed in Figure 4, in addition
 435 to statistics separately for the patient groups with PVH and NPVH.

436

437 **Figure 4.** Group-wide statistics for week-long mean vocal effort before and after treatment for patients
 438 with vocal hyperfunction (VH) displayed in teal and baseline and follow-up of at least six months for
 439 controls displayed in yellow, demonstrating treatment-related construct validity. Error bars indicate
 440 standard deviations, and shading indicates range of week-long mean vocal effort scores.



441

442

443 **Table 5.** Results for Subset 2. Group mean, standard deviation (SD), minimum (Min), and maximum
 444 (Max) of the week-long average of vocal effort scores for participants during their first week of voice
 445 monitoring (pre-treatment for patients and baseline for controls) and second week (post-treatment for
 446 patients and follow-up by at least 6 months for individuals in the control group). Results for all patients
 447 with vocal hyperfunction are shown pooled (as statistically analyzed) and by diagnosis (phonotraumatic
 448 vocal hyperfunction [PVH] or non-phonotraumatic vocal hyperfunction [NPVH]).

	<i>n</i>	Pre-Treatment/Baseline		Post-Treatment/Follow-up	
		Group Mean (SD)	Min–Max	Group Mean (SD)	Min–Max
All Patients (Pooled)	25	29.1 (18.6)	0.4–65.7	15.9 (14.8)	0.0–50.6
PVH	21	28.1 (19.3)	0.4–65.7	16.6 (15.1)	0.0–50.6
NPVH	4	33.8 (15.7)	12.2–49.1	11.8 (13.6)	0.0–30.4
Controls	10	1.3 (2.4)	0.0–7.5	1.8 (3.5)	0.0–11.3

449

450

451 **Sensitivity to Change**

452 Cohen’s *d* was calculated using the pairwise comparisons of week-long mean vocal effort in
453 individuals with and without VH, which revealed very large effect sizes ($d= 1.62$ for both individuals with
454 PVH and NPVH compared to controls). Cohen’s *d* was also calculated using data from the second analysis,
455 comparing the scores in the patient group from pre-treatment to post-treatment for patients who were
456 monitored before and after successful voice treatment. Cohen’s *d* was 1.75, which indicated a very large
457 effect size. The SEM (4.14) was used to obtain the MDC₉₅, which was 11.47. This finding means that for a
458 true change to be detected, ecological vocal effort must change by around 12 scalar points.

459 **Responsiveness**

460 Deltas of vocal effort were calculated from each rating of participants’ weeks using the global vocal
461 status question as an index of change. The MCID was 9.30, which in this study was simply the mean
462 absolute delta when participants indicated change in vocal status (either worsened or improved) compared
463 to no-change scores. Table 6 lists the results for the entire dataset and also stratified by CAPE-V OS
464 category. One outlier was removed from the WFL OS group. The stratification enabled more specificity of
465 estimates of MDC₉₅ and MCID for the WFL OS and the Mild OS groups. For the WFL group, the MDC₉₅
466 was 5.40 and the MCID was 8.91. For the mild OS group, the MDC₉₅ was 14.83 and the MCID was 9.34.
467 There were too few participants in the moderate and severe OS groups to calculate a specific ICC (and
468 therefore SEM), so the overall ICC of .96 was used to calculate the SEMs and therefore the MDCs listed
469 in Table 6.

470

471 **Table 6.** Psychometric data for all participants, stratified by overall severity of dysphonia from the
 472 consensus auditory-perceptual evaluation of voice (CAPE-V), with score ranges in parentheses. WFL is
 473 within functional limits. Data include test-retest reliability (ICC(A,1)) of Subset 1, number of participants
 474 in each group (*n*) for all following psychometric analyses, the group standard deviation (SD) of mean
 475 week-long vocal effort during the first week of monitoring, the standard error of the measure (SEM), the
 476 minimum detectable change with 95% confidence intervals (MDC₉₅), and the Minimal Clinically
 477 Important Difference. † indicates that test-retest reliability was not available for moderate and severe
 478 overall severities due to the small number of participants in the test-retest subset, so the overall ICC of
 479 0.96 was used. The psychometric data for the moderate and severe groups is only meant to serve as “best-
 480 case scenario” estimates and should be interpreted with caution, as the sample sizes are very small.
 481 Psychometric data for the entire group are bolded.

Overall Severity of Dysphonia	Reliability (ICC(A,1))	Group SD of mean				
		<i>n</i>	week-long vocal effort	SEM	MDC ₉₅	MCID
WFL (≤10)	0.87	50	5.40	1.95	5.40	8.91
Mild (>10 and ≤35)	0.91	38	17.84	5.35	14.83	9.34
Moderate (>35 and ≤71)	†	7	27.26	5.45	15.11	12.91
Severe (>71)	†	5	26.37	5.27	14.61	6.54
Overall	0.96	100	20.61	4.14	11.47	9.74

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Discussion

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Test-Retest Reliability

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The overarching aim of this study was to examine ecological momentary assessment of vocal effort, defined as the amount of perceived work or exertion to produce voice measured in an individual’s real-world speaking environment. Building on previous work that measured vocal status (Van Stan, Maffei, et al., 2017), we implemented a vocal effort prompt and VAS using the same technology via a customized platform on a smartphone device. We assessed the psychometric properties of an ecological vocal effort scale that is linked temporally to a voicing task and used to capture vocal effort ratings throughout a week of ambulatory voice monitoring in individuals with and without VH, with the goal of offering a generalizable method to measure vocal effort throughout daily life.

Test-retest reliability, as it pertains to self-report of vocal status, is a challenging metric to assess; a balance must be found in spacing the ratings far enough in time so that recall effect is minimized and close enough in time to ensure that vocal status has remained constant. In individuals without VH, a time period longer than the 20-minute recording between ratings could be acceptable, as their vocal status is less

498 likely to change; however, patients with VH may be more prone to changes in status associated with vocal
499 demands. As noted previously, a test-retest design was not initially planned, so test-retest data was limited
500 to the most recent year and the ratings made during in-lab procedures only. In contrast to work by Van Stan
501 and colleagues (2017), who used Cronbach alpha to obtain an estimate of internal consistency for the
502 construct of vocal status, we did not presume that the ecological vocal effort scale linked to a voicing task
503 would have the same latent construct as voice-related discomfort and fatigue scales. Thus, for this study,
504 test-retest reliability was determined to be the most appropriate measure of reliability, despite the potential
505 limitations of the study design.

506 Overall, the vocal effort scores were found to be reliable based on a test-retest analysis. While it is
507 possible that the high reliability found could be attributed to the short time-frame interval (approximately
508 20 minutes), a longer interval might have introduced other confounds (e.g., changes in vocal status). The
509 test-retest reliability found using Subset 1 was .96, which indicates excellent reliability. We used a data-
510 driven approach to identify two subgroups based on CAPE-V overall severity scores. We calculated ICCs
511 separately for the two subgroups, finding reliability of .91 for those VH patients with mild OS and a
512 reliability of .87 for individuals with OS within functional limits. These results suggest that the ecological
513 vocal effort scale is reliable for individuals with OS scores under 30. Future work should determine if the
514 reliability of the scale changes for more dysphonic individuals. Our high reliability scores of vocal effort
515 based on test-retest reliability may indicate a “best-case scenario” in that it is the best reliability obtainable,
516 but this means the MDC_{95} is *at least* as large as what was found, which is still valuable information. In
517 future work, we could probe participants to re-rate vocal effort even during times when they indicate “no
518 change” in vocal status. Although it would place more burden on the participants, this method would allow
519 us to measure test-retest reliability in the field as opposed to only in the laboratory.

520 **Validity**

521 The ecological vocal effort scale was validated in the context of ambulatory voice monitoring,
522 empirically supported by two main findings. As expected, week-long mean vocal effort was statistically
523 different for patients with PVH and NPVH compared to individuals without VH, evidence of known-groups

524 validity. This finding was consistent with previous results of ambulatory vocal status (Van Stan, Maffei, et
525 al., 2017), which differentiated patients with PVH and NPVH from individuals without VH with very large
526 effect sizes. Findings from the current study were different than those of Baldner et al. (2015), which found
527 that the Borg CR-10 did not differentiate patients and control participants. The variability of week-long
528 mean vocal effort scores was much larger for the patient groups compared to the control group, which
529 indicates that vocal effort changes more throughout the day/week for patients with VH compared to
530 individuals without VH. It is possible that patients with VH may have varying degrees of effort from one
531 another or they may use the scale in different ways, rating in the same direction and magnitude but at
532 different areas of the scale. Future work could investigate these patterns among patients in a more
533 comprehensive way. Individual variability of the week-long vocal effort ratings was greater in patients
534 compared to controls, confirming that vocal effort does in fact vary throughout the day in many individuals
535 with VH compared to those without VH. This result corroborates other studies that have found no
536 meaningful change after a vocally demanding event, such as fitness instruction (Dallaston & Rumbach,
537 2016) or singing performance (Kitch et al., 1996) in individuals without voice disorders. Our findings
538 demonstrate the potential for tracking ecological vocal effort throughout daily life to identify instances of
539 increased hyperfunctional behaviors. Objective measures associated with increased vocal effort could be
540 employed as an early-warning system to either prevent VH or serve as biofeedback to aid patients in therapy
541 as they rehabilitate, fostering carryover of strategies to natural voicing activities of daily life.

542 Week-long mean vocal effort was also statistically different in patients with VH after successful
543 treatment (therapy and/or surgery), and individual variability was also reduced. Although not part of the
544 analysis, we also confirmed that week-long mean vocal effort for individuals without VH did not
545 statistically change from the initial week to their six-month follow-up ($p = .22$). These findings support
546 construct validity for the ecological vocal effort scale and demonstrate the clinical utility of measuring
547 ecological vocal effort throughout activities of daily living to track progress over the course of voice
548 rehabilitation. These results were consistent with findings of reduced levels of difficulty to produce soft,
549 high-pitched phonation, vocal fatigue, and vocal discomfort throughout daily life following successful

550 voice treatment (Van Stan, Maffei, et al., 2017). Results from the current study corroborate findings from
551 a study that found a statistic difference in one-time ratings of vocal effort using the Borg CR-10 before and
552 after treatment (van Leer & van Mersbergen, 2017).

553

554 **Sensitivity**

555 The ecological vocal effort scale was found to be sensitive to the presence of vocal hyperfunction,
556 supported by Cohen's effect sizes and the MDC₉₅ (Streiner et al., 2015). Cohen's effect size is the most
557 common measure of sensitivity in the psychometrics literature (Streiner et al., 2015). The large effect sizes
558 found comparing individuals with and without VH, which were consistent with the effect sizes previously
559 found for ambulatory vocal status (Van Stan, Maffei, et al., 2017). The scale was also found to be sensitive
560 to treatment effects, also supported by the large effect size found in the validity analysis comparing week-
561 long mean vocal effort before and after successful treatment. These findings suggest the ecological vocal
562 effort scale has the potential to supplement assessment of a voice disorder and to document changes in
563 vocal effort throughout voice therapy. The MDC₉₅ was 11.47, which means that an ecological vocal effort
564 score must change by at least 11.47 points for there to be true change beyond error of the measure.

565 **Responsiveness**

566 Whereas sensitivity evaluates a measure's ability to detect *any* change, regardless of measurement
567 error or clinical relevance, responsiveness determines how many scalar points on the ecological vocal effort
568 scale an individual must change for that change to be detectable beyond a margin of error. We also sought
569 to determine the amount of change required to be *clinically* meaningful using the MCID. Our MCID was
570 9.30, which *should* mean that for a change in vocal status to be clinically meaningful, ecological vocal
571 effort must change by 9.30 points; however, since the MDIC is lower than the MDC₉₅ of 11.47, it is within
572 the error of the measure and is therefore invalid. This occurrence is quite common in the rehabilitation
573 science literature (Beninato et al., 2014; Stipancic et al., 2018), as patient-reported outcomes are challenging
574 to measure and may not accurately reflect the measure of interest. For example, participants' ratings on the
575 global vocal status question may not be precisely associated with ratings on the vocal effort scale, since

576 participants were asked to rate discomfort and vocal fatigue as well. In our case, this issue is likely attributed
577 to the intentional bias set forth, in assuming “no change” scores were deltas of zero, which prevented us
578 from doing a receiver operating characteristic curve analysis. Other potential limitations are discussed in
579 the limitations section. It is of some comfort that the MCID was close to the MDC₉₅ even though it was still
580 within error tolerance; instead, we must rely on the MDC as a threshold of detectable change.

581 To be considered a warning sign, vocal effort in patients must increase on the ecological vocal
582 effort scale by *at least* 11.47 points; to be considered a clinical improvement, scores on the ecological vocal
583 effort scale must decrease by *at least* 11.47 points. It is possible that a floor effect could limit our ability to
584 detect improvements in vocal effort (i.e., decreased effort scores) in individuals who rate themselves lower
585 on the scale. These thresholds are important as we work toward identifying objective measures of vocal
586 function that are correlated with vocal effort and can be implemented as ambulatory voice biofeedback
587 during natural activities of daily life. The MDC of 11.47 points (on a scale from 0–100) may suggest that
588 an equal-appearing interval scale could be sufficient in detecting changes in vocal effort. However, more
589 research is needed to further explore responsiveness of the ecological vocal effort scale.

590 When we stratified groups by overall severity (see Table 6), the WFL participants who were rated
591 ≤ 10 in terms of CAPE-V OS (45 controls, 5 patients) had an MCID (8.91) that was larger than the MDC₉₅
592 (5.40). This finding was not surprising, as we would expect individuals with minimal dysphonia to maintain
593 low levels of vocal effort; an increase of 8.91 points would indeed be clinically meaningful, at least as a
594 warning sign that hyperfunctional behaviors might be at play. Therefore, in future studies that investigate
595 presumably vocally healthy individuals who work in at-risk occupations (e.g., teachers, telemarketers), a
596 change threshold of 5.40 points (the MDC₉₅ of the WFL group) may be enough to identify potential warning
597 signs of VH behaviors. The metrics displayed in Table 6 for the moderate and severe groups must be
598 interpreted with extreme caution, as the sample sizes within those groups were very small. More work is
599 needed to understand change scores in patients with moderate and severe overall severity of dysphonia.

600

601

602 **Limitations**

603 As previously mentioned, a test-retest experiment was not executed from the start of the study. This
604 limited the number of participants in the reliability analysis, as test-retest procedures were only consistently
605 followed for the last year of the project, which happened to occur during the COVID-19 pandemic. Due to
606 the pandemic, the hospital imposed restrictions on in-person visits, so most of the follow-up visits were
607 held virtually. Fewer patients with VH were seen in the laryngology clinic, also due to pandemic-related
608 restrictions. This resulted in our test-retest data including a larger number of participants who were
609 returning at different phases of the study (post-therapy, six-month follow-up) as opposed to the first week
610 of voice monitoring. For all follow-up weeks (for participants who had been previously monitored for a
611 baseline week of ambulatory voice monitoring) the “in-lab” recording session was converted to a virtual
612 format. As such, the research coordinators met with participants via a HIPAA-compliant videoconference
613 to remind participants how to use the monitoring equipment and how to answer the vocal effort prompt and
614 global vocal status prompts thereafter. Participants then made the voice recording using only the ambulatory
615 monitoring equipment and a portable microphone recorder. They did however participate in test-retest the
616 same way, rating vocal effort before and after the calibration recording. We do not believe this significantly
617 impacted the results, as the voicing procedures and time intervals remained consistent.

618 An intentional bias was set forth in the in-field procedures of eliciting the vocal effort questions.
619 Because this study was part of a much larger study that required participants to wear multiple devices and
620 perform various system checks and calibrations each day, we wanted to reduce the hourly burden on
621 participants as much as possible. Thus, we did not require participants to re-rate the vocal effort when they
622 indicated that their vocal status had not changed. This inherent bias perhaps is one reason the MCID was
623 within the margin of error of the measure and thus was invalid. Additionally, to reduce cognitive burden,
624 we chose to display ratings from the previous hour when participants were asked to re-rate questions, so
625 that their ratings were directly anchored to their previous ratings, which also may have imposed a bias on
626 the data.

627

628 **Future Directions and Clinical Implications**

629 Results of this study support the use of the ecological vocal effort scale, validated in individuals
630 with and without VH and demonstrating good reliability. The ecological vocal effort scale offers a way to
631 measure vocal effort during activities of daily life in individuals with and without vocal hyperfunction via
632 ambulatory voice monitoring. The intent of the authors is not to suggest that the ecological vocal effort
633 scale is *the* best way to measure vocal effort; instead, the scale is a starting point for future work to build
634 upon. In future related studies, the methods may be refined to reduce bias of the data, such as building in a
635 test-retest component, potentially probing fewer times throughout the day, removing the cursors indicating
636 previous ratings, and requiring re-ratings even when participants report no change in vocal status. The VAS
637 was easily implemented into the smartphone platform. Following methods from the vocal status questions
638 employed by Van Stan, Maffei, et al. (2017) no anchors, experiential or otherwise were used; future work
639 may determine whether a different type of scale is more appropriate, such as the OMNI vocal effort scale
640 (Shoffel-Havakuk et al., 2019), which includes pictorial and verbal anchors, the Borg CR-100, which is a
641 logarithmic scale (Berardi, 2020; Borg & Kaijser, 2006), or a simple equal-appearing interval scale. Future
642 work should also include participants with a greater range of severity of dysphonia or a variety of disorders
643 beyond VH to explore a wider range of effort responses on the scale. A next step in this line of work will
644 be to compare psychometric properties of the ecological vocal effort scale with the voice-related discomfort
645 and fatigue scales that were also prompted for the study participants but out of the scope of the current
646 analysis. This work may further determine whether one of these three (discomfort, fatigue, or effort) change
647 before the others, or if all items change at the same time when a change in vocal status is detected.
648 Furthermore, randomization of the three questions in future work could determine if an individual's answer
649 to one question influences another.

650 With evidence that vocal effort changes throughout the day for patients with VH, there is benefit
651 of tracking changes in vocal effort throughout daily life in patients with VH, with a goal of identifying
652 objective correlates of vocal effort that could be observed during natural speaking contexts. An important
653 next step in this line of research is to investigate vocal behaviors from the “afa” gestures, which were linked

654 with the vocal effort ratings throughout the course of a week of ambulatory voice monitoring. Specifically,
655 a follow-up analysis could analyze the “afa” gestures from the accelerometer signal to obtain relative
656 fundamental frequency measures, which have been theoretically and empirically associated with vocal
657 effort (Lien et al., 2015; McKenna et al., 2016; Stepp et al., 2010; Stepp et al., 2011). Accelerometer-derived
658 objective measures (Espinoza et al., 2020; Lei et al., 2019; Lin et al., 2019; Marks et al., 2020; Mehta et al.,
659 2019; Mehta et al., 2015; Švec et al., 2005; Van Stan, Mehta, Ortiz, Burns, Marks, et al., 2020; Van Stan,
660 Mehta, Ortiz, Burns, Toles, et al., 2020; Whittico et al., 2020) may lead to better insight into the underlying
661 physiological changes that occur when detectable changes in vocal effort are perceived. In addition to
662 measures of vocal dose, of particular interest are objective measures that have previously been associated
663 with vocal effort in the literature, such as subglottal pressure (Chang & Karnell, 2004; McKenna et al.,
664 2017) and relative fundamental frequency (Lien et al., 2015; McKenna & Stepp, 2018). While the /afa/ task
665 is not one that typically occurs in natural speech, if objective measures from the task are associated with
666 ratings of vocal effort, future work could include the measures associated with vocal effort to capture vocal
667 behaviors in natural running speech.

668 Ambulatory monitoring is changing the future of voice assessment; when systems are commercially
669 available, voice assessment can occur outside of the clinic in real life environments, during activities of
670 daily living. Objective correlates of ecological vocal effort could be incorporated with ambulatory voice
671 monitoring to enable implementation of an early-warning system that could help prevent worsening of
672 symptoms in patients with VH or those at risk of developing VH during their activities of daily living.
673 Furthermore, ambulatory biofeedback could also be used to bring awareness of vocal behaviors associated
674 with increased vocal effort as an adjunct to voice therapy. Biofeedback could also help patients with voice
675 disorders carry over healthy voicing strategies learned in therapy to meet their daily vocal demands.
676 Advances in both ambulatory voice monitoring and objective correlates of vocal effort could significantly
677 impact the assessment and treatment of individuals with voice disorders and those at risk of developing
678 voice disorders.

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Conclusion

In the context of ambulatory voice monitoring, the ecological vocal effort scale (linked to a voicing task), was found to be reliable, valid, and sensitive to the presence of vocal hyperfunction and to successful treatment changes in vocal function in this population of individuals with vocal hyperfunction. The scale was sensitive in terms of the MDC₉₅, but not responsive in terms of the MCID. The ecological vocal effort scale offers one way to measure vocal effort in the context of daily vocal demands. Future work may determine whether the changes in vocal effort are related to vocal behaviors by investigating the objective measures that reflect the underlying physiology during times of stable or changed vocal effort, using the MDC₉₅ of 12 points as the threshold for detectable change in vocal effort. For those with typical voices, a detectable change threshold was around 6 points, but would need to be closer to 9 points to be considered clinically meaningful. Additional work is needed to determine accurate change thresholds on the ecological vocal effort scale for patients with moderate and severe levels of dysphonia.

Acknowledgments

Funding provided by the Voice Health Institute and the National Institutes of Health (NIH) National Institute on Deafness and Other Communication Disorders (Grant P50 DC015446 awarded to REH). The paper's contents are solely the responsibility of the authors and do not necessarily represent the official views of the NIH. The authors thank Jarrad Van Stan, Annie Fox, Alan Jette, James Burns, and Tiffany Hron for their contributions. We also thank Brianna Williams and Liane Houde for helping with data organization and quality checking.

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